



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Patrick V. Warren et al. Art Unit : 2152
Serial No. : 09/905,173 Examiner : Unknown
Filed : July 12, 2001
Title : ENZYMES HAVING TRANSAMINASE AND AMINOTRANSFERASE
ACTIVITY AND METHODS OF USE THEREOF

Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

RECEIVED

FEB 03 2003

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

TECH CENTER 1600/2900

Please correct the Filing Receipt for the above-referenced application.

The first line under "**Domestic Priority data as claimed by applicant**" should read as follows:

--This application is a CIP of 09/412,184 10/04/1999 PAT 6,268,188--.

The third such line should read:

--Both of which are a CON of 08/646,590 05/08/1996 PAT 5,962,283--

Please also correct the entire name and address of applicants' attorneys as follows:

--20985
Fish & Richardson P.C.
4350 La Jolla Village Drive
Suite 500
San Diego, CA 92122--

Please change the city for second-named inventor, Patrick V. Warren, from
"Philadelphia" to --Coatesville--.

Please change the city and state for third-named inventor, Ronald V. Swanson, from
"Media, PA" to --Del Mar, CA--.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202

January 15, 2003

Date of Deposit

Signature

Norman Green

Typed or Printed Name of Person Signing Certificate

Applicant : Patrick V. Warren et al.
Serial No. : 09/905,173
Filed : July 12, 2001
Page : 2

Attorney's Docket No.: 09010-017006 / DIVER 1240-7

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Date.

Jan. 15, 2003

PTO Customer No. 20985



Fish & Richardson P.C.
4350 La Jolla Village Drive, Suite 500
San Diego, California 92122
Telephone: (858) 678-5070
Facsimile: (858) 678-5099

10244472.doc

Respectfully submitted,

Gregory P. Einhorn
Reg. No. 38,440

GPE
MCKUNITED STATES
PATENT AND
TRADEMARK OFFICECommissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/905,173	07/12/2001	2152	2195	09010-017006	24	92	21

20985

Fish And Richardson P.C.

Suite 500

4350 La Jolla Village Drive

San Diego, CA 92009

Fish & Richardson P.C.

4350 La Jolla Village Drive

Suite 500

San Diego, CA 92122

RECEIVED

NOV 07 2002

FISH & RICHARDSON, P.C.
SAN DIEGO

CONFIRMATION NO. 3152

CORRECTED FILING RECEIPT



OC000000009031291

Date Mailed: 10/30/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jay M. Short, Rancho Santa Fe, CA;

Patrick V. Warren, Philadelphia, PA;

Ronald V. Swanson, Media, PA;

Eric J. Mathur, Carlsbad, CA;

Coatesville

Del Mar, CA

RECEIVED

FEB 03 2003

Domestic Priority data as claimed by applicant

This application is a CIP of 09/412,894 10/05/1999 PAT 6,268,188

and is a CIP of 09/389,537 09/02/1999

which is a CON of 08/646,590 05/08/1996 PAT 5,962,283

which is a CIP of 08/599,171 02/09/1996 PAT 5,814,473

and is a CIP of 09/481,733 01/11/2000

which is a CON of 09/069,226 04/27/1998 PAT 6,013,509

which is a CON of 08/599,171 02/09/1996 PAT 5,814,473

Both of which are

TECH CENTER 1600/2900

Foreign Applications

If Required, Foreign Filing License Granted 10/31/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

No Docketing
Reviewed By Practice <i>Wb</i>

COPY

**** SMALL ENTITY ******Title**

Enzymes having transaminase and aminotransferase activity and methods of use thereof

Preliminary Class

709

RECEIVED

FEB 03 2003

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15****TECH CENTER 1600/2900****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COPY